

03-18-02

GP/1645

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/824,931

Filing Date 4/03/01

First Named Inventor Michael P. Mittmann

Group Art Unit 1645

Examiner Name

Total Number of Pages in This Submission

186

Attorney Docket Number 3381

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please identify below):

Remarks

- FORM 1449 + 177 PAGES OF REFERENCES
- RETURN RECEIPT POSTCARD

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Alan B. Sherr

Signature

Date

March 15, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as Express Mail ET280380504US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 15, 2002

Typed or printed name

Gilda T. Carr

Signature

Date

March 15, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
MAR 20 2002
TC 1700

TECH CENTER 1600/2900

MAR 21 2002

RECEIVED



PATENT
Attorney Docket No. 3381

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael P. Mittmann,
et al

Serial No: 09/824,931

Filing Date: 4/3/01

Title: **Photolithographic Method
And System for Efficient
Mask Usage in Manufactur-
ing DNA Arrays**

Examiner:

Group Art Unit: 1645

INFORMATION DISCLOSURE
STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the document listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application.

Citation of this document shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: March 15, 2002

Respectfully submitted,

Alan B. Sherr
Reg. No. 42,147

Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051
Tel: 408/731-5000
Fax: 408/731-5392

RECEIVED
MAR 20 2002
TC 1700

TECH CENTER 1600/2900

MAR 21 2002

RECEIVED

+

1 +

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

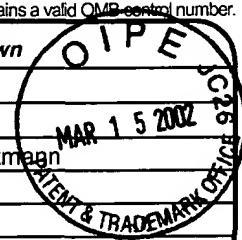
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Complete if Known

Application Number	09/824,931
Filing Date	4/3/01
First Named Inventor	Michael P. Mittmann
Group Art Unit	1645
Examiner Name	
Attorney Docket Number	3381



Sheet	1	of	1
-------	---	----	---

Attorney Docket Number	3381
------------------------	------

U.S. PATENT DOCUMENTS

[illegible]

RECEIVED
MAR 20 2002
TC 1700

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.